

Geron Securities Litigation
c/o Epiq Class Action & Claims Solutions
P.O. Box 4574
Portland, OR 97208-4574

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SECOND NOTICE TO BROKERS, BANKS, AND OTHER NOMINEES

**TIME-SENSITIVE, COURT-ORDERED
ACTION REQUIRED ON YOUR PART TO
COMPLETE A SETTLEMENT NOTICE
MAILING TO BENEFICIAL OWNERS NOT
LATER THAN MAY 1, 2023**

***Julia Junge and Richard Junge v. Geron Corp. and John A. Scarlett,*
Case No. 3:20-cv-00547-WHA (N.D. Cal.)**

On or around October 28, 2022, Epiq Class Action and Claims Solutions, Inc. (“Epiq” or the “Claims Administrator”) notified you and other nominees that a settlement of the above-noted securities class action has been reached and mailed to you the Notice of (I) Proposed Settlement and Plan of Allocation; (II) Settlement Fairness Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses and Service Awards to Lead Plaintiffs and Proof of Claim and Release Form (the “Settlement Notice Packet”) that the Court ordered be timely sent to potential Class Members in advance of the Settlement Fairness Hearing. A copy of the Settlement Notice Packet is available on the website www.GeronSecuritiesLitigation.com.

A Settlement Fairness Hearing was held on March 30, 2023 at which the Court raised concerns that the Settlement Notice Packet was not timely provided to potential Class Members. As a result, the Court ordered a supplemental notice be disseminated to potential Class Members that set a new deadline (no later than July 14, 2023, at 11:59 PM PST) for potential Class Members to file a claim or to request to be excluded from the Class, and reset the Settlement Fairness Hearing for August 24, 2023.

In view of the Court’s concerns about timely notice of the settlement having been provided to potential Class Members, this is our **second** notice to you, **issued by order of the Court**, and it requires **immediate action**. The Court has **ordered** the enclosed supplemental notice (the “Supplemental Settlement Notice”) be sent **not later than MAY 1, 2023** (the new “Notice Date”) to all potential Class Members. The Supplemental Settlement Notice is also available on www.GeronSecuritiesLitigation.com.

As we noted previously, the certified Class consists of all persons who purchased Geron Corporation common stock (Nasdaq: GERN, CUSIP: 374163103) during the period from March 19, 2018, to September 26, 2018, inclusive (the “Class Period”) and who were damaged thereby (the “Class”).

In order for the Claims Administrator to monitor and complete a timely mailing of the Supplemental Settlement Notice to all potential Class Members **by MAY 1, 2023**, as ordered by the Court, the Claims Administrator requires the following action on your part. Noncompliance will be reported to the Court and to Lead Counsel:

- (a) **If you previously elected to mail the Settlement Notice Packet directly to beneficial owners**, the Claims Administrator shall forward the same number of the Supplemental Settlement Notices to you no later than **APRIL 21, 2023**, and you shall, by no later than **MAY 1, 2023**, mail the Supplemental Settlement Notice to the beneficial owners on whose behalf you serve as a nominee, and confirm your compliance in writing to Epiq no later than **MAY 5, 2023**. As we previously advised you, you must retain your mailing records as they may be required by the Court or needed to assist the Claims Administrator in determining that the notices have been timely and accurately mailed.

For questions, please call (844) 754-5537.

- (b) If you previously elected to provide a list of names and addresses of beneficial holders to the Claims Administrator, the Claims Administrator shall, by no later than **MAY 1, 2023**, mail a copy of the Supplemental Settlement Notice to each of the beneficial owners whose names and addresses you previously supplied. You **must, however, update** the Claims Administrator not later than **APRIL 24, 2023** if you serve as a nominee for additional persons or entities whose names and addresses were not previously provided to the Claims Administrator, or you are aware of a name or address change of one of its beneficial owners.
- (c) Further, if you purchased Geron common stock during the Class Period for beneficial owners whose names and addresses were not previously provided to the Claims Administrator or if you are aware of name and address changes for beneficial owners whose names and addresses were previously provided to the Claims Administrator, you shall, by no later than **APRIL 24, 2023**, provide a list of the names and addresses of all such beneficial owners to the Claims Administrator, or shall request from the Claims Administrator sufficient copies of the Supplemental Settlement Notice to forward to all such beneficial owners, which you shall mail to the beneficial owners **no later than MAY 1, 2023**, and confirm your compliance in writing to Epiq no later than **MAY 5, 2023**.

PLEASE NOTE: These documents contain deadlines that could impact your customers' rights and this is the second time the Court has directed notice to potential Class Members of the settlement.

If you are providing a new or updated list of names and addresses to the Claims Administrator, please do the following not later than APRIL 24, 2023:

- (a) Compile any new or updated list of names and last known addresses of the beneficial owners described above. Please note that if the list you have provided before remains accurate, simply send an email to info@GeronSecuritiesLitigation.com to confirm that fact not later than **APRIL 24, 2023**. Sending a duplicate list of the same contact information will cause delay and additional expense.
- (b) Prepare the list in Microsoft Excel format following the "Electronic Name and Address File Layout" set forth on page 3. A preformatted spreadsheet can also be found on the "Nominees" page of the website, www.GeronSecuritiesLitigation.com
- (c) Then you must do one of the following:
 1. Burn the Microsoft Excel file(s) to a CD or DVD and mail the CD or DVD to:

Geron Securities Litigation
c/o Epiq Class Action & Claims Solutions
P.O. Box 4574
Portland, OR 97208-4574
 2. Email the spreadsheet to info@GeronSecuritiesLitigation.com.
 3. Upload the spreadsheet to the "Nominees" page of the website, www.GeronSecuritiesLitigation.com.

If you are going to forward the Supplemental Settlement Notice to the beneficial owners, and you are unable to make copies of the one-page item yourself, please request the needed number of copies of the Supplemental Settlement Notice via email to info@GeronSecuritiesLitigation.com not later than **APRIL 24, 2023**. You must mail the Supplemental Settlement Notice to the beneficial owners not later than **MAY 1, 2023**, and confirm your compliance in writing to Epiq no later than **MAY 5, 2023**.

Expense Reimbursement

Reasonable expenses actually incurred in fully and timely complying with the Court's Order and these directions may be eligible for reimbursement (including postage and costs to compile names and addresses) by providing the Claims Administrator with proper documentation supporting the expenses for which reimbursement is sought. Please submit your invoice within one month of completing the mailing or providing your file. Expense reimbursement is subject to oversight of the Court, and any disputes shall be subject to review by the Court.

For questions, please call (844) 754-5537.

Electronic Name and Address File Layout

Column	Description	Length	Notes
A	Account #	15	Unique identifier for each record
B	Beneficial owner's first name	25	
C	Beneficial owner's middle name	15	
D	Beneficial owner's last name	30	
E	Joint beneficial owner's first name	25	
F	Joint beneficial owner's middle name	15	
G	Joint beneficial owner's last name	30	
H	Business or record owner's name	60	
I	Representative or contact name	45	
J	Address 1	35	
K	Address 2	25	
L	City	25	
M	U.S. state or Canadian province	2	U.S. and Canada addresses only ¹
N	ZIP Code	10	
O	Country (other than U.S.)	15	

For further details, please refer to page 16 of the original Settlement Notice.

If you have any questions, you may contact the Claims Administrator at (844) 754-5537 or by email at info@GeronSecuritiesLitigation.com. Thank you for your cooperation.

¹ For countries other than the U.S. and Canada, place any territorial subdivision in "Address 2" field.